

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Baumann, et al.
Appl. No. : Herewith
Filed : February 18, 2004
Title : ROTARY ATOMIZER WITH BLOCKABLE SHAFT
Examiner : Darren W. Gorman
Grp./A.U. : 3752

Docket No. : 60,126-240

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO/SB08A. Under the provisions set forth whereby the office waived the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent publication for all U.S. national patent applications filed after June 30, 2003, Attorney for applicant is not submitting a copy of any of the noted references referred to on the enclosed PTO/SB08A, due to the fact that the above noted application was filed on February 18, 2004.

None of these items noted on the PTO/SB08A disclose the inventive concepts claimed in the subject application.


Should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789. A duplicate of this paper is enclosed.

Respectfully submitted,

HOWARD & HOWARD ATTORNEYS, P.C.

February 18, 2004
Date

Enclosures:
PTO/SB08 (1 Pg.)


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CERTIFICATE OF EXPRESS MAILING

I hereby certify that the enclosed **Information Disclosure Statement** is being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as "Express Mail Post Office to Addressee," Mailing Label No. **EL998311097US** and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on **February 18, 2004.**



Tracy L. Smith

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	Herewith
Filing Date	February 18, 2004
First Named Inventor	Baumann, et al.
Art Unit	3752
Examiner Name	D. Gorman
Attorney Docket Number	60,126-240

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,538,189	07-23-1996	Rodgers, Michael C.	
		US- 5,816,508	10-06-1998	Hollstein et al.	
		US- 5,862,988	01-26-1999	Van der Steur	
		US- 6,105,886	08-22-2000	Hollstein et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		DE 3912700	10-11-1990	Ransburg-Gema		
		WO/9636438	11-21-1996	Nordson Corp.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Notice of References CitedApplication/Control No.
10/256,428Applicant(s)/Patent Under
Reexamination
BAUMANN ET AL.Examiner
Darren W GormanArt Unit
3752

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,538,189	07-1996	Rodgers, Michael C.	239/587.5
	B	US-5,816,508	10-1998	Hollstein et al.	239/700
	C	US-6,105,886	08-2000	Hollstein et al.	239/700
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. 60,126-205		SERIAL NO. 10/256,428						
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: Baumann, et al.								
				FILING DATE September 27, 2002		GROUP: 3752						
U.S. PATENT DOCUMENTS												
Examiner Initial	Document No.							Date	Name	Class	Sub- Class	Filing Date
	5	8	6	2	9	8	8	01/26/99	Van der Steur	239	288.5	11/14/96
FOREIGN PATENT DOCUMENTS												
Examiner Initial	Document No.							Date	Country	Class	Sub- Class	Filing Date
DE	3	9	1	2	7	0	0	10/11/90	Germany			04/18/89
WO	96	3	6	4	3	8		11/21/96	PCT			05/20/96
OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent Pages, etc.)												
Examiner								Date Considered				
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